

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Zimmerling, et al. Group No.: 3763
Application No.: 10/726,066 Examiner: C. S. Williams
Filed: December 2, 2003 Conf. No.: 2565
For: Fluid Switch Controlled Trans-Cutaneously via a Magnetic Force

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

(Information Disclosure Statement--page 1 of 6)

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is *mandatory*;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. SECTION 1.8(a)

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Kathryn E. Noll

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"Since the filing of correspondence under section 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

NOTE: "An information disclosure statement shall be considered by the Office if filed by the applicant:

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in section 1.491 in an international application; or
- (3) Before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. section 1.97(b).

NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. section 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. section 1.56(c).

NOTE: The "duty as described in section 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by sections 1.97(b) - (d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13-25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. section 1.51(b).

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

1. ☒ Preliminary Statements
2. ☒ Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
3. ☐ Statement as to Information Not Found in Patents or Publications
4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
5. ☐ Cumulative Patents or Publications
6. ☒ Copies of Listed Information Items Accompanying This Statement
7. ☐ Concise Explanation of Non-English Language Listed Information Items
 - 7A. ☐ JEPO Search Report
 - 7B. ☐ English Language Version of EPO Search Report
8. ☐ Translation(s) of Non-English Language Documents
9. ☐ Concise Explanation of English Language Listed Information Items (Optional)
10. ☒ Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections _____, respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Zimmerling, et al. Attorney Docket: 1941/172
Serial No: 10/726,066 Art Group Unit: 3751
Date Filed: December 2, 2003 Examiner Name: Rost, Andrew, J.
Invention: Fluid Switch Controlled Trans-Cutaneously via a Magnetic Force

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. PATENT DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Issue Date	Inventor	Class/Subclass
	BC	US 6,440,102 B1	Aug. 27, 2002	Arenberg, et al.	604/96.01

Examiner Signature: _____

Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.

Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. section 1.98(a)(2) requires that any information disclosure statement filed under section 1.97 shall include: "A legible copy of: (i) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . ."

NOTE: The wording in section 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

☒ Exception(s) to above:

U.S. patent citations are not included pursuant to the United State Patent and Trademarks Office's September 21, 2004 waiver of the copy requirement in 37 CFR 1.98 for cited pending U.S. patent citations when the patent citations are available in the USPTO's IFW system.

☐ Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.

☐ Cumulative patents or publications identified in Section 5.

Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this certification is

(check each applicable item)

(a) ☐ the inventor(s) who signs below

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

(b) ☐ an individual associated with the filing and prosecution of this application (37 C.F.R. section 1.56(c))

SIGNATURE OF INVENTOR

(type name of inventor who is signing)

(c) ☒ the practitioner who signs below on the basis of the information:

(check each applicable item)

☐ supplied by the inventor(s).

☐ supplied by an individual associated with the filing and prosecution of this application. (37 C.F.R. section 1.56(c)).

☒ in the practitioner's file.

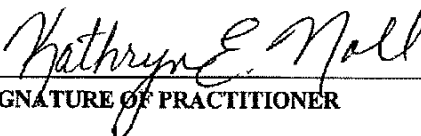
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01941/00172 496592.1



SIGNATURE OF PRACTITIONER

Kathryn E. Noll

(type or print name of practitioner)

125 Summer Street, 11th Floor

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Boston, MA 02110-1618

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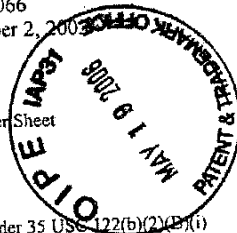
The Commissioner for Patents has received from Bromberg & Sunstein LLP the following re:

Inventor: Zimmerling, Martin
Title: Fluid Switch Controlled Trans-
cutaneously via a Magnetic
Force
Serial/Patent No.: 10/726,066
Filing/Issue Date: December 2, 2003

Docket No.: 1941/172
Art Unit: 3763
Examiner: Williams, C.S.
Date: May 17, 2006
Express Mail No.:

Documents:

- | | | | |
|--------------------------|---|-------------------------------------|---|
| <input type="checkbox"/> | New Application Transmittal | <input checked="" type="checkbox"/> | Amendment Transmittal (2 pgs) |
| <input type="checkbox"/> | Provisional Application Cover Sheet | <input checked="" type="checkbox"/> | Amendment (Preliminary) |
| <input type="checkbox"/> | Description- pages | <input checked="" type="checkbox"/> | Response (10 pgs) |
| <input type="checkbox"/> | Claims- pages | <input type="checkbox"/> | IDS Transmittal |
| <input type="checkbox"/> | Abstract | <input type="checkbox"/> | Petition for month extension |
| <input type="checkbox"/> | Application Data Sheet | <input checked="" type="checkbox"/> | IDS (6 pgs) |
| <input type="checkbox"/> | Request and Certification under 35 USC 122(b)(2)(B)(i) | <input type="checkbox"/> | Payment of Maintenance Fee |
| <input type="checkbox"/> | sheets of drawings | <input type="checkbox"/> | Assignment/Recordation Form Cover Sheet |
| <input type="checkbox"/> | <input type="checkbox"/> formal <input type="checkbox"/> informal | <input checked="" type="checkbox"/> | Check in the amount of \$ 180.00 |
| <input type="checkbox"/> | Declaration & Power of Attorney | <input type="checkbox"/> | Completion of Filing Requirements |
| <input type="checkbox"/> | <input type="checkbox"/> executed <input type="checkbox"/> unexecuted | <input type="checkbox"/> | Transmittal of Formal Drawings |
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